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TC 1700

Amdt. Trans.  
PATENT

Our File No.: A34258-PCT-USA  
Date: November 9, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Poopathy Kathirgamanathan  
Serial No. : 09/830,897 Examiner :  
Filed : May 2, 2001 Group Art Unit: 2879  
For : NOVEL LUMINESCENT MATERIALS

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Transmitted herewith is an Information Disclosure Statement in the above-identified application.

1. ☐ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
2. ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.
3. ☒ No additional fee is required.

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on November 9, 2001.

Attorney Name Marta E. Delsignore

Registration No. 32,689

Signature

*Marta E. Delsignore*

Date of Signature November 9, 2001

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PATENT

Attorney Docket No. 34258-PCT-USA, 002923.097826

The Fee has been calculated as shown below:

		Claims remaining after amendment (Col. 1)	Highest No. prev. paid for (Col. 2)	Present extra (Col. 3)	SMALL ENTITY			OTHER THAN A SMALL ENTITY		
					RATE	FEE		RATE	FEE	
Total	*		Minus **	= 0	X 9	= 0		X 18	=	
Ind.	*		Minus ***	= 0	X 42	= 0		X 84	=	
() First Presentation of Multiple Dependent Claims					+ 140	=		+ 280	=	
TOTAL ADDITIONAL FEE:								TOTAL:		

- \* If the entry in Col 1 is less than the entry in Col. 2, write "0" in Col. 3.  
\*\* If the "Highest No. prev. paid for" in this space is less than 20, write "20" in this space.  
\*\*\* If the "Highest No. prev. paid for" in this space is less than 3, write "3" in this space.

4.(a) ☐ An Extension of Time to respond to the PTO communication dated \_\_\_\_\_ is hereby requested. The required fee, indicated below, is enclosed herewith.

Extension for response (check only one):

ENTITY	SMALL ENTITY	OTHER THAN A SMALL
Within first month	<input type="checkbox"/> \$ 55	<input type="checkbox"/> \$ 110
Within second month	<input type="checkbox"/> 195	<input type="checkbox"/> 390
Within third month	<input type="checkbox"/> 445	<input type="checkbox"/> 890
Within fourth month	<input type="checkbox"/> 695	<input type="checkbox"/> 1,390

(check and complete the next item, if applicable)

- ☐ An extension for \_\_\_\_\_ has already been secured and the fee paid therefor of \$ \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

Extension fee due with this request \$\_\_\_\_\_.

or

- (b)[X] In the event that an extension of time is required, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition and fee for extension of time.

5. ☐ Please charge our Deposit Account No. 50-1145 in the amount of \$ \_\_\_\_\_. Two copies of this sheet are enclosed.

6. ☐ A check in the amount of \$00 is enclosed.





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PATENT

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7. [X] The Commissioner is hereby authorized to charge payment of any additional filing fees are required under 37 CFR 1.116 and/or 37 CFR 1.117 associated with this communication or credit any overpayment to Deposit Account No. 50-1145. Two copies of this sheet are enclosed.

PITNEY, HARDIN, KIPP & SZUCH

By: Marta E. Delsignore  
Marta E. Delsignore  
PTO Registration No. 32,689

Enclosures

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Serial No. : 09/830,897 Group Art Unit : 2879  
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**SECOND SUPPLEMENTAL**  
**INFORMATION DISCLOSURE STATEMENT**

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents, Washington, D.C. 20231

November 9, 2001  
Date of Deposit

Marta E. Delsignore  
Attorney Name

*Marta E. Delsignore*  
Signature

32,689  
PTO Registration No.

November 9, 2001  
Date of Signature

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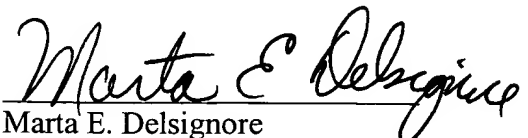
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants respectfully request that the thirty-four (34) documents listed on the Enclosed PTO Form 1449 be made of record in this application. Copies of these documents are enclosed.

501145. If any additional fee is required, please charge such sum to Deposit Account No.:

Respectfully submitted,

  
Marta E. Delsignore  
Patent Office Reg. No. 32,689  
Attorney for Applicant  
(212) 297-5804

Enclosures